

This notice in TED website: <http://ted.europa.eu/udl?uri=TED:NOTICE:86560-2018:TEXT:EN:HTML>

**Germany-Frankfurt am Main: ECB - Provision of services with regard
to On-Site Supervisory Activities and Related Horizontal Tasks
2018/S 040-086560**

Contract award notice

Results of the procurement procedure

Services

Directive 2014/24/EU

Section I: Contracting authority

I.1) Name and addresses

European Central Bank
Sonnemannstrasse 22
Frankfurt am Main
60314
Germany
Contact person: RP van Deelen
Telephone: +49 69/13440
E-mail: procurement@ecb.europa.eu
Fax: +49 69/13447110
NUTS code: DE712

Internet address(es):

Main address: <http://www.ecb.europa.eu>

I.2) Joint procurement

I.4) Type of the contracting authority

European institution/agency or international organisation

I.5) Main activity

Economic and financial affairs

Section II: Object

II.1) Scope of the procurement

II.1.1) Title:

Provision of services with regard to On-Site Supervisory Activities and Related Horizontal Tasks
Reference number: PRO-003204

II.1.2) Main CPV code

79210000

II.1.3) Type of contract

Services

II.1.4) Short description:

The ECB intends to select highly-qualified external service providers with experience in the assessment of banks' risks. This experience should include having reviewed compliance of Significant Institutions under

the direct SSM supervision (hereby referred to as “Banks”) with banking regulation (hereby referred to as the “Regulatory Requirements”) and having assessed applicable risk models and risk management processes. The Regulatory Requirements include Regulation (EU) n° 575/2013 on prudential requirements for credit institutions and investments firms (hereby referred to as the “CRR”) and Directive 2013/36/EU on access to the activity of credit institutions and the prudential supervision of credit institutions and investment firms (hereby referred to as the “CRD IV”), together with the level-2/implementing regulations, e.g. Commission implementing regulations, regulatory and implementing technical standards, national discretions as well as the applicable international standards.

II.1.6) **Information about lots**

This contract is divided into lots: yes

II.1.7) **Total value of the procurement (excluding VAT)**

Value excluding VAT: 40 000 000.00 EUR

II.2) **Description**

II.2.1) **Title:**

Lot 1: Expert assessments for On-site Inspections (OSIs) and Internal Model Investigations (IMIs)
Lot No: 1

II.2.2) **Additional CPV code(s)**

79210000
79410000

II.2.3) **Place of performance**

NUTS code: DE712

II.2.4) **Description of the procurement:**

For Lot 1, the Contractor(s) shall execute independent tasks in connection with the ECB's carrying out of On-Site Inspections (hereby referred to as “OSIs”) and Internal Model Investigations performed as On-Site Inspections (hereby referred to as “IMIs”) at the Banks' premises, upon specific request of the ECB. The Contractor(s) shall execute independent assessments and provide additional perspectives based on their relevant expertise in specified areas that could be included in assessing the Banks' risks and/or reviewing Banks' compliance with the Regulatory Requirements. In doing so, the Contractor(s) shall adhere to a variety of inspection techniques, including (but not limited to) observation, information verification and analysis, targeted interviews, walk-through tests, sampling, and data analysis), and contribute to the assessment report with a set of defined deliverables.

II.2.5) **Award criteria**

Quality criterion - Name: The quality of the proposal for the approach and methodologies to perform the services under the scope of the contract / Weighting: 20 %

Quality criterion - Name: Commitment of the tenderer to guarantee the provision of services within a 1 month notice period with teams which meet the generic minimum competencies / Weighting: 15 %

Quality criterion - Name: The ability of the tenderer to engage a team whose competencies go beyond the minimum competencies as laid down in the Invitation to Tender / Weighting: 10 %

Quality criterion - Name: Acceptance of the ECB's Draft Contract / Weighting: 5 %

Price - Weighting: 50 %

II.2.11) **Information about options**

Options: no

II.2.13) **Information about European Union funds**

The procurement is related to a project and/or programme financed by European Union funds: no

II.2.14) **Additional information**

Section IV: Procedure

IV.1) **Description**

IV.1.1) **Type of procedure**

Competitive procedure with negotiation

IV.1.3) **Information about a framework agreement or a dynamic purchasing system**

The procurement involves the establishment of a framework agreement

IV.1.6) **Information about electronic auction**

IV.1.8) **Information about the Government Procurement Agreement (GPA)**

The procurement is covered by the Government Procurement Agreement: no

IV.2) **Administrative information**

IV.2.1) **Previous publication concerning this procedure**

Notice number in the OJ S: [2017/S 158-326563](#)

IV.2.8) **Information about termination of dynamic purchasing system**

IV.2.9) **Information about termination of call for competition in the form of a prior information notice**

Section V: Award of contract

Contract No: 1

Lot No: 1

Title:

Lot 1: Expert assessments for On-site Inspections (OSIs) and Internal Model Investigations (IMIs)

A contract/lot is awarded: yes

V.2) **Award of contract**

V.2.1) **Date of conclusion of the contract:**

18/01/2018

V.2.2) **Information about tenders**

Number of tenders received: 12

Number of tenders received from SMEs: 0

Number of tenders received from tenderers from other EU Member States: 11

Number of tenders received from tenderers from non-EU Member States: 1

Number of tenders received by electronic means: 0

The contract has been awarded to a group of economic operators: no

V.2.3) **Name and address of the contractor**

Deloitte GmbH Wirtschaftsprüfungsgesellschaft

Frankfurt am Main

Germany

NUTS code: DE712

The contractor is an SME: no

V.2.4) **Information on value of the contract/lot (excluding VAT)**

Total value of the contract/lot: 3 333 333.00 EUR

V.2.5) **Information about subcontracting**

The contract is likely to be subcontracted

Section V: Award of contract

Contract No: 2

Lot No: 1

Title:

Lot 1: Expert assessments for On-site Inspections (OSIs) and Internal Model Investigations (IMIs)

A contract/lot is awarded: yes

V.2) **Award of contract**

V.2.1) **Date of conclusion of the contract:**

18/01/2018

V.2.2) **Information about tenders**

Number of tenders received: 12

Number of tenders received from SMEs: 0

Number of tenders received from tenderers from other EU Member States: 11

Number of tenders received from tenderers from non-EU Member States: 1

Number of tenders received by electronic means: 0

The contract has been awarded to a group of economic operators: no

V.2.3) **Name and address of the contractor**

Ernst & Young GmbH Wirtschaftsprüfungsgesellschaft

Frankfurt am Main

Germany

NUTS code: DE712

The contractor is an SME: no

V.2.4) **Information on value of the contract/lot (excluding VAT)**

Total value of the contract/lot: 3 333 333.00 EUR

V.2.5) **Information about subcontracting**

The contract is likely to be subcontracted

Section V: Award of contract

Contract No: 3

Lot No: 1

Title:

Lot 1: Expert assessments for On-site Inspections (OSIs) and Internal Model Investigations (IMIs)

A contract/lot is awarded: yes

V.2) **Award of contract**

V.2.1) **Date of conclusion of the contract:**

18/01/2018

V.2.2) **Information about tenders**

Number of tenders received: 12

Number of tenders received from SMEs: 0

Number of tenders received from tenderers from other EU Member States: 11

Number of tenders received from tenderers from non-EU Member States: 1

Number of tenders received by electronic means: 0

The contract has been awarded to a group of economic operators: no

V.2.3) **Name and address of the contractor**

KPMG AG Wirtschaftsprüfungsgesellschaft
Frankfurt am Main
Germany
NUTS code: DE712
The contractor is an SME: no

V.2.4) **Information on value of the contract/lot (excluding VAT)**

Total value of the contract/lot: 3 333 333.00 EUR

V.2.5) **Information about subcontracting**

The contract is likely to be subcontracted

Section V: Award of contract

Contract No: 4

Lot No: 1

Title:

Lot 1: Expert assessments for On-site Inspections (OSIs) and Internal Model Investigations (IMIs)

A contract/lot is awarded: yes

V.2) **Award of contract**

V.2.1) **Date of conclusion of the contract:**

23/01/2018

V.2.2) **Information about tenders**

Number of tenders received: 12

Number of tenders received from SMEs: 0

Number of tenders received from tenderers from other EU Member States: 11

Number of tenders received from tenderers from non-EU Member States: 1

Number of tenders received by electronic means: 0

The contract has been awarded to a group of economic operators: no

V.2.3) **Name and address of the contractor**

GMS Management Solutions, SL
Madrid
Spain
NUTS code: ES300
The contractor is an SME: no

V.2.4) **Information on value of the contract/lot (excluding VAT)**

Total value of the contract/lot: 3 333 333.00 EUR

V.2.5) **Information about subcontracting**

Section V: Award of contract

Contract No: 5

Lot No: 1

Title:

Lot 1: Expert assessments for On-site Inspections (OSIs) and Internal Model Investigations (IMIs)

A contract/lot is awarded: yes

V.2) **Award of contract**

V.2.1) **Date of conclusion of the contract:**

17/01/2018

V.2.2) **Information about tenders**

Number of tenders received: 12

Number of tenders received from SMEs: 0

Number of tenders received from tenderers from other EU Member States: 11

Number of tenders received from tenderers from non-EU Member States: 1

Number of tenders received by electronic means: 0

The contract has been awarded to a group of economic operators: no

V.2.3) **Name and address of the contractor**

PwC EU Services EEIG

Sint-Stevens-Woluwe

Belgium

NUTS code: BE100

The contractor is an SME: no

V.2.4) **Information on value of the contract/lot (excluding VAT)**

Total value of the contract/lot: 3 333 333.00 EUR

V.2.5) **Information about subcontracting**

The contract is likely to be subcontracted

Section V: Award of contract

Contract No: 6

Lot No: 1

Title:

Lot 1: Expert assessments for On-site Inspections (OSIs) and Internal Model Investigations (IMIs)

A contract/lot is awarded: yes

V.2) **Award of contract**

V.2.1) **Date of conclusion of the contract:**

26/01/2018

V.2.2) **Information about tenders**

Number of tenders received: 12

Number of tenders received from SMEs: 0

Number of tenders received from tenderers from other EU Member States: 11

Number of tenders received from tenderers from non-EU Member States: 1

Number of tenders received by electronic means: 0

The contract has been awarded to a group of economic operators: no

V.2.3) **Name and address of the contractor**

Mazars LLP

London

United Kingdom

NUTS code: UKI42

The contractor is an SME: no

V.2.4) **Information on value of the contract/lot (excluding VAT)**

Total value of the contract/lot: 3 333 333.00 EUR

V.2.5) **Information about subcontracting**

Section V: Award of contract

Contract No: 7

Lot No: 1

Title:

Lot 1: Expert assessments for On-site Inspections (OSIs) and Internal Model Investigations (IMIs)

A contract/lot is awarded: yes

V.2) **Award of contract**

V.2.1) **Date of conclusion of the contract:**

18/01/2018

V.2.2) **Information about tenders**

Number of tenders received: 12

Number of tenders received from SMEs: 0

Number of tenders received from tenderers from other EU Member States: 11

Number of tenders received from tenderers from non-EU Member States: 1

Number of tenders received by electronic means: 0

The contract has been awarded to a group of economic operators: no

V.2.3) **Name and address of the contractor**

McKinsey & Company, Inc.

Düsseldorf

Germany

NUTS code: DEA11

The contractor is an SME: no

V.2.4) **Information on value of the contract/lot (excluding VAT)**

Total value of the contract/lot: 3 333 333.00 EUR

V.2.5) **Information about subcontracting**

Section V: Award of contract

Contract No: 8

Lot No: 1

Title:

Lot 1: Expert assessments for On-site Inspections (OSIs) and Internal Model Investigations (IMIs)

A contract/lot is awarded: yes

V.2) **Award of contract**

V.2.1) **Date of conclusion of the contract:**

17/01/2018

V.2.2) **Information about tenders**

Number of tenders received: 12

Number of tenders received from SMEs: 0

Number of tenders received from tenderers from other EU Member States: 11

Number of tenders received from tenderers from non-EU Member States: 1

Number of tenders received by electronic means: 0

The contract has been awarded to a group of economic operators: no

V.2.3) **Name and address of the contractor**

BDO AG Wirtschaftsprüfungsgesellschaft
Hamburg
Germany
NUTS code: DE600
The contractor is an SME: no

V.2.4) **Information on value of the contract/lot (excluding VAT)**

Total value of the contract/lot: 3 333 333.00 EUR

V.2.5) **Information about subcontracting**

The contract is likely to be subcontracted

Section V: Award of contract

Contract No: 9

Lot No: 1

Title:

Lot 1: Expert assessments for On-site Inspections (OSIs) and Internal Model Investigations (IMIs)

A contract/lot is awarded: yes

V.2) **Award of contract**

V.2.1) **Date of conclusion of the contract:**

22/01/2018

V.2.2) **Information about tenders**

Number of tenders received: 12

Number of tenders received from SMEs: 0

Number of tenders received from tenderers from other EU Member States: 11

Number of tenders received from tenderers from non-EU Member States: 1

Number of tenders received by electronic means: 0

The contract has been awarded to a group of economic operators: no

V.2.3) **Name and address of the contractor**

Promontory Financial Group, LLC
Washington DC
United States
NUTS code: 00
The contractor is an SME: no

V.2.4) **Information on value of the contract/lot (excluding VAT)**

Total value of the contract/lot: 3 333 333.00 EUR

V.2.5) **Information about subcontracting**

Section VI: Complementary information

VI.3) **Additional information:**

The value of the contract/lot as indicated in Section V.2.4 is the total estimated value of all 9 contracts as signed under this lot for a total period of 4 years, to which the ECB is not bound.

VI.4) **Procedures for review**

VI.4.1) **Review body**

Procurement Review Body of the European Central Bank, c/o Legal Advice Team
Sonnemannstrasse 20
Frankfurt am Main
60314
Germany
Telephone: +49 6913440
Fax: +49 6913446886
Internet address:<http://www.ecb.europa.eu>

VI.4.2) **Body responsible for mediation procedures**

European Ombudsman
1 avenue du Président Robert Schuman
Strasbourg
67001
France

VI.4.3) **Review procedure**

Precise information on deadline(s) for review procedures:

15 days from the receipt of the information specified in Article 34(3) of Decision (EU) 2016/245 of the European Central Bank of 9.2.2016 laying down the rules on procurement (ECB/2016/2) or, if no information is requested, 15 days from the receipt of the notification to unsuccessful tenderers. Further requirements are outlined in Article 39 of this decision. A complaint to the European Ombudsman does not affect the deadline for lodging appeals.

VI.4.4) **Service from which information about the review procedure may be obtained**

Central Procurement Office
Sonnemannstrasse 20
Frankfurt am Main
60314
Germany
Telephone: +49 6913440
Internet address:<http://www.ecb.europa.eu>

VI.5) **Date of dispatch of this notice:**

15/02/2018