

This notice in TED website: <http://ted.europa.eu/udl?uri=TED:NOTICE:304470-2018:TEXT:EN:HTML>

**Germany-Frankfurt am Main: ECB - Provision of services with regard
to On-Site Supervisory Activities and Related Horizontal Tasks
2018/S 134-304470**

Contract award notice

Results of the procurement procedure

Services

Legal Basis:

Directive 2014/24/EU

Section I: Contracting authority

- I.1) **Name and addresses**
European Central Bank
Sonnemannstrasse 22
Frankfurt am Main
60314
Germany
Contact person: Central Procurement Office
E-mail: procurement@ecb.europa.eu
Fax: +49 69/13447110
NUTS code: DE712
Internet address(es):
Main address: <http://www.ecb.europa.eu>
- I.2) **Information about joint procurement**
- I.4) **Type of the contracting authority**
European institution/agency or international organisation
- I.5) **Main activity**
Economic and financial affairs

Section II: Object

- II.1) **Scope of the procurement**
- II.1.1) **Title:**
Provision of services with regard to On-Site Supervisory Activities and Related Horizontal Tasks
Reference number: PRO-003204
- II.1.2) **Main CPV code**
79210000
- II.1.3) **Type of contract**
Services
- II.1.4) **Short description:**
The ECB intends to select highly-qualified external service providers with experience in the assessment of banks' risks. This experience should include having reviewed compliance of Significant Institutions under

the direct SSM supervision (hereby referred to as “Banks”) with banking regulation (hereby referred to as the “Regulatory Requirements”) and having assessed applicable risk models and risk management processes. The Regulatory Requirements include Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investments firms (hereby referred to as the “CRR”) and Directive 2013/36/EU on access to the activity of credit institutions and the prudential supervision of credit institutions and investment firms (hereby referred to as the “CRD IV”), together with the level-2/implementing regulations, e.g. Commission implementing regulations, regulatory and implementing technical standards, national discretions as well as the applicable international standards.

II.1.6) **Information about lots**

This contract is divided into lots: yes

II.1.7) **Total value of the procurement (excluding VAT)**

Value excluding VAT: 40 000 000.00 EUR

II.2) **Description**

II.2.1) **Title:**

Lot 2: Horizontal tasks with regard to On-Site Inspections (OSIs) and Internal Model Investigations (IMIs)
Lot No: 2

II.2.2) **Additional CPV code(s)**

79210000

II.2.3) **Place of performance**

NUTS code: DE712

II.2.4) **Description of the procurement:**

For Lot 2, the Contractor(s) shall execute specific independent tasks upon request of the ECB for carrying out horizontal tasks related to OSIs and IMIs, including horizontal analyses of results. Due to the nature of the tasks, presence on the ECB premises might be required. More specifically, the Contractor(s) shall execute the below (non-exhaustive) list of tasks:

- Establishing Project Management related to all or a sub-set of OSIs and IMIs to enable the on-site supervisory activities, for instance for special, topical investigations,
- Expert advice on carrying out Quality Assurance of reviews and analyses according to a Quality Assurance Framework and thereby bringing in external, independent views and experience, in line with defined deliverables and guidelines provided by the ECB,
- Training (potentially also including organising examination and certifying) the inspectors who would carry out the on-site supervisory activities, so as to ensure that the reviewers working in different teams apply the appropriate methodologies,
- Expert advice on specific topical investigations, projects or ad-hoc questions concerning independently conducting horizontal analyses, benchmarking and peer comparisons and thereby bringing in external, independent views and experience, in line with defined deliverables and guidelines provided by the ECB,
- Expert advice on specific topical investigations, projects or ad-hoc questions concerning integrating, developing and maintaining methodologies, tools and templates and other documentation, and thereby bringing in external, independent views and experience, in line with defined deliverables and guidelines provided by the ECB,
- Expert advice on specific topical investigations, projects or ad-hoc questions concerning defining and implementing business requirements for databases, and thereby bringing in external, independent views and experience, in line with defined deliverables and guidelines provided by the ECB.

II.2.5) **Award criteria**

Quality criterion - Name: The quality of the proposal for the approach and methodologies to perform the services under the scope of the Contract / Weighting: 25

Quality criterion - Name: Commitment of the Tenderer to guarantee the provision of services within a one month notice period with teams who meet the generic minimum competencies / Weighting: 15

Quality criterion - Name: The ability of the Tenderer to engage a team whose competencies go beyond the minimum competencies / Weighting: 15

Quality criterion - Name: Acceptance of the ECB's draft contract / Weighting: 5

Price - Weighting: 40

II.2.11) **Information about options**

Options: no

II.2.13) **Information about European Union funds**

The procurement is related to a project and/or programme financed by European Union funds: no

II.2.14) **Additional information**

Section IV: Procedure

IV.1) **Description**

IV.1.1) **Type of procedure**

Competitive procedure with negotiation

IV.1.3) **Information about a framework agreement or a dynamic purchasing system**

The procurement involves the establishment of a framework agreement

IV.1.6) **Information about electronic auction**

IV.1.8) **Information about the Government Procurement Agreement (GPA)**

The procurement is covered by the Government Procurement Agreement: no

IV.2) **Administrative information**

IV.2.1) **Previous publication concerning this procedure**

Notice number in the OJ S: [2017/S 158-326563](#)

IV.2.8) **Information about termination of dynamic purchasing system**

IV.2.9) **Information about termination of call for competition in the form of a prior information notice**

Section V: Award of contract

Contract No: 1

Lot No: 2

Title:

Lot 2: Horizontal tasks with regard to On-Site Inspections (OSIs) and Internal Model Investigations (IMIs)

A contract/lot is awarded: yes

V.2) **Award of contract**

V.2.1) **Date of conclusion of the contract:**

19/06/2018

V.2.2) **Information about tenders**

Number of tenders received: 6

Number of tenders received from SMEs: 0

Number of tenders received from tenderers from other EU Member States: 6

Number of tenders received from tenderers from non-EU Member States: 0

Number of tenders received by electronic means: 0

The contract has been awarded to a group of economic operators: no

V.2.3) **Name and address of the contractor**

Deloitte GmbH Wirtschaftsprüfungsgesellschaft
Franklinstraße 50
Frankfurt am Main
60486
Germany
NUTS code: DE712
The contractor is an SME: no

V.2.4) **Information on value of the contract/lot (excluding VAT)**

Initial estimated total value of the contract/lot: 1 666 667.00 EUR
Total value of the contract/lot: 1 666 667.00 EUR

V.2.5) **Information about subcontracting**

Section V: Award of contract

Contract No: 2

Lot No: 2

Title:

Lot 2: Horizontal tasks with regard to On-Site Inspections (OSIs) and Internal Model Investigations (IMIs)

A contract/lot is awarded: yes

V.2) **Award of contract**

V.2.1) **Date of conclusion of the contract:**

18/06/2018

V.2.2) **Information about tenders**

Number of tenders received: 6
Number of tenders received from SMEs: 0
Number of tenders received from tenderers from other EU Member States: 6
Number of tenders received from tenderers from non-EU Member States: 0
Number of tenders received by electronic means: 0
The contract has been awarded to a group of economic operators: no

V.2.3) **Name and address of the contractor**

Ernst & Young GmbH Wirtschaftsprüfungsgesellschaft
Mergenthalerallee 3-5
Frankfurt am Main
65760
Germany
NUTS code: DE712
The contractor is an SME: no

V.2.4) **Information on value of the contract/lot (excluding VAT)**

Initial estimated total value of the contract/lot: 1 666 667.00 EUR
Total value of the contract/lot: 1 666 667.00 EUR

V.2.5) **Information about subcontracting**

Section V: Award of contract

Contract No: 3

Lot No: 2

Title:

Lot 2: Horizontal tasks with regard to On-Site Inspections (OSIs) and Internal Model Investigations (IMIs)

A contract/lot is awarded: yes

V.2) **Award of contract**

V.2.1) **Date of conclusion of the contract:**

22/06/2018

V.2.2) **Information about tenders**

Number of tenders received: 6

Number of tenders received from SMEs: 0

Number of tenders received from tenderers from other EU Member States: 6

Number of tenders received from tenderers from non-EU Member States: 0

Number of tenders received by electronic means: 0

The contract has been awarded to a group of economic operators: no

V.2.3) **Name and address of the contractor**

GMS Management Solutions, S.L.

Plaza Pablo Ruiz Picasso 1

Madrid

28020

Spain

NUTS code: ES300

The contractor is an SME: no

V.2.4) **Information on value of the contract/lot (excluding VAT)**

Initial estimated total value of the contract/lot: 1 666 667.00 EUR

Total value of the contract/lot: 1 666 667.00 EUR

V.2.5) **Information about subcontracting**

Section VI: Complementary information

VI.3) **Additional information:**

The value of the contract/lot as indicated in section V.2.4 is the estimated average value of each of the 3 contracts as signed under this lot for a total period of 4 years, to which the ECB is not bound.

VI.4) **Procedures for review**

VI.4.1) **Review body**

Procurement Review Body of the European Central Bank, c/o Legal Advice Team

Sonnemannstrasse 22

Frankfurt am Main

60314

Germany

Telephone: +49 6913440

Fax: +49 6913446886

Internet address: <http://www.ecb.europa.eu>

VI.4.2) **Body responsible for mediation procedures**

The European Ombudsman

1 avenue du Président Robert Schuman, CS 30403

Strasbourg Cedex
67001
France

VI.4.3) **Review procedure**

Precise information on deadline(s) for review procedures:

15 days from the receipt of the information specified in Article 34(3) of Decision (EU) 2016/245 of the European Central Bank of 9.2.2016 laying down the rules on procurement (ECB/2016/2) or, if no information is requested, 15 days from the receipt of the notification to unsuccessful tenderers. Further requirements are outlined in Article 39 of this Decision. A complaint to the European Ombudsman does not affect the deadline for lodging appeals.

VI.4.4) **Service from which information about the review procedure may be obtained**

Central Procurement Office of the European Central Bank
Sonnemannstrasse 22
Frankfurt am Main
60314
Germany
Telephone: +49 6913440
E-mail: procurement@ecb.europa.eu
Fax: +49 6913447110
Internet address: <http://www.ecb.europa.eu>

VI.5) **Date of dispatch of this notice:**

04/07/2018